

जय भगवान शर्मा
कार्यपालक निदेशक
(विधि एवं कंपनी सचिव)

Jai Bhagwan Sharma
Executive Director
(Legal & Company Secretary)

राष्ट्रीय केमिकल्स एण्ड
फर्टिलाइजर्स लिमिटेड

(भारत सरकार का उपक्रम)

साथ बढ़ें समृद्धि की ओर

“प्रियदर्शिनी”,

ईस्टर्न एक्सप्रेस हाइवे,
सायन, मुंबई 400 022.



**Rashtriya Chemicals and
Fertilizers Limited**

(A Government of India Undertaking)

Let us grow together

“Priyadarshini”,

Eastern Express Highway,
Sion, Mumbai - 400 022.

CIN - L24110MH1978GOI020185

दूरध्वनी / Tel.:(Off.): (022) 2404 5024 • ई-मेल / E-mail : jbsharma@rcfltd.com • वेबसाइट / Website : www.rcfltd.com

RCF/CS/Stock Exchanges /2023

May 31, 2023

The Corporate Relations Department BSE Limited Department of Corporate Services Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai – 400001.	The Listing Department National Stock Exchange of India Limited Exchange Plaza, 5th Floor, Plot No.C/1, G Block, Bandra Kurla Complex, Bandra(East), Mumbai- 400 051.
Script Code: 524230 / 959872 / 973742	Script Code: RCF EQ ISIN: INE027A07012 / INE027A08010

Dear Sir/Madam,

Sub: Reporting of Violation relating to Code of Conduct under SEBI (Prohibition of Trading) Regulations, 2015

In terms of Company's Code of Conduct (To Regulate, Monitor and Report of Trading by Designated Persons) (“Insider Trading Code”) read with Schedule B and Regulation 9 of the SEBI (Prohibition of Insider Trading) Regulations, 2015, we hereby submit a report in respect of violation of Insider Trading Code of the Company as per format specified by SEBI vide its Circular No. SEBI/HO/ISD/ISD/CIR/P/2020/135 dated July 23, 2020.

This is for your kind information and record.

Yours faithfully,
For Rashtriya Chemicals and Fertilizers Limited

J.B. Sharma
Executive Director
Legal and Company Secretary

Encl: a/a

ANNEXURE A

Report by Rashtriya Chemicals and Fertilizers Limited for violations related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015.

[Schedule B read with Regulation 9(1) of SEBI (Prohibition of Insider Trading) Regulations, 2015]

Sr. No.	Particulars	Details
1	Name of the listed company/ Intermediary/Fiduciary	Rashtriya Chemicals and Fertilizers Limited
2	Please tick appropriate checkbox Reporting in capacity of : <input checked="" type="checkbox"/> Listed Company <input type="checkbox"/> Intermediary <input type="checkbox"/> Fiduciary	Listed Company
3	A. Details of Designated Person (DP)	
	i. Name of the DP	Ms. Priyanka Agarwal
	ii. PAN of the DP	AKFPG9344J
	iii. Designation of DP	Senior Manager (Finance)
	iv. Functional Role of DP	Finance
	v. Whether DP is Promoter or belongs to Promoter Group	No
	B. If Reporting is for immediate relative of DP	
	i. Name of the immediate relative of DP	Not Applicable
	ii. PAN of the immediate relative of DP	Not Applicable
	C. Details of transaction(s)	
	i. Name of the scrip	RCF /524230
	ii. No of shares traded and value (Rs.) (Date- wise)	1000 (One Thousand) Equity shares were purchased on October 27, 2022 at a price of Rs 99.25/- per share
	D. In case value of trade(s) is more than Rs.10 lacs in a calendar quarter	
	Date of intimation of trade(s) by concerned DP/director/promoter/promoter group to Company under regulation 7 of SEBI (PIT) Regulations, 2015	N.A.
	ii. Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI (PIT) Regulations, 2015	N.A.
4	Details of violations observed under Code of Conduct	Transaction undertaken during the Trading Window closure period.
5	Action taken by Listed company/ Intermediary/ Fiduciary	Ms. Priyanka Agarwal was WARNED for trading in securities of the Company during the window closure period and violation of RCF's Insider Trading Code. Further, she was directed to deposit a fine

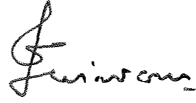
Jainam

		<p>of Rs 4,100 with SEBI IPEF.</p> <p>If, such violation repeated in future, the Company will take strict Disciplinary Action as per RCF's Insider Trading Code.</p> <p>Action taken against the Designated Person has been reviewed by the Audit Committee of the company at its meeting held on May 30,2023.</p>														
6	Reasons recorded in writing for taking action stated above	<p>Violation of Insider Trading Code of Company for trading in securities of the Company during the window closure period.</p> <p>Being first time violation, the warning letter was issued Ms. Agarwal and directed to deposit a fine of Rs 4,100 with SEBI IPEF.</p> <p>She assured that she will take utmost care while trading in the securities of the Company and will strictly adhered to the rules and regulations of RCF's Insider Trading Code.</p>														
7	Details of the previous instances of violations, if any, since last financial year	Nil														
8	If any amount collected for Code of Conduct violation(s) :-															
	i. Mode of transfer to SEBI – IPEF (Online/Demand Draft)	Online														
	ii. Details of transfer/payment In case of Online:															
	<table border="1"> <thead> <tr> <th>Particulars</th> <th>Details</th> </tr> </thead> <tbody> <tr> <td>Name of the transferor</td> <td>Priyanka Agarwal</td> </tr> <tr> <td>Bank Name, branch and</td> <td>State Bank of India, Mahul Road Branch</td> </tr> <tr> <td>Account number</td> <td>20133100051</td> </tr> <tr> <td>UTR/Transaction reference</td> <td>IMPS00225351185MOAHNQRUG3</td> </tr> <tr> <td>Transaction date</td> <td>March16,2023</td> </tr> <tr> <td>Transaction Amount (in Rs.)</td> <td>4,100</td> </tr> </tbody> </table>	Particulars	Details	Name of the transferor	Priyanka Agarwal	Bank Name, branch and	State Bank of India, Mahul Road Branch	Account number	20133100051	UTR/Transaction reference	IMPS00225351185MOAHNQRUG3	Transaction date	March16,2023	Transaction Amount (in Rs.)	4,100	
Particulars	Details															
Name of the transferor	Priyanka Agarwal															
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Transaction date	March16,2023															
Transaction Amount (in Rs.)	4,100															

Signature

	In case of Demand Draft (DD): N.A.											
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Particulars	Details											
Bank Name and branch												
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DD amount (in Rs.)												
9	Any other relevant information	None										

Yours faithfully,
For **Rashtriya Chemicals and Fertilizers Limited**



Name and Signature of Compliance Officer:- J. B. Sharma
PAN: AMSPS6071R
Email id: jbsharma@rcfltd.com

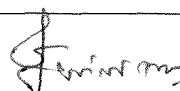
Date: 31.05.2023
Place: Mumbai

Annexure A

Report by Rashtriya Chemicals and Fertilizers Limited for violations related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015.

[Schedule B read with Regulation 9(1) of SEBI (Prohibition of Insider Trading) Regulations, 2015]

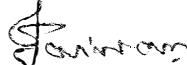
Sr. No.	Particulars	Details
1	Name of the listed company/ Intermediary/Fiduciary	Rashtriya Chemicals and Fertilizers Limited
2	Please tick appropriate checkbox Reporting in capacity of : <input checked="" type="checkbox"/> Listed Company <input type="checkbox"/> Intermediary <input type="checkbox"/> Fiduciary	Listed Company
3	A. Details of Designated Person (DP)	
	i. Name of the DP	Mr. Mahendra Agrawal
	ii. PAN of the DP	AAUPA7689K
	iii. Designation of DP	Deputy General Manager (Finance)
	iv. Functional Role of DP	Finance
	v. Whether DP is Promoter or belongs to Promoter Group	No
	B. If Reporting is for immediate relative of DP	
	i. Name of the immediate relative of DP	Not Applicable
	ii. PAN of the immediate relative of DP	Not Applicable
	C. Details of transaction(s)	
	i. Name of the scrip	RCF /524230
	ii. No of shares traded and value (Rs.) (Date- wise)	1. Bought 1000 Equity Shares on 22.09.2022 at a price of Rs.103.57 per share 2. Sold 500 Equity shares on 16.11.2022 at a price of Rs.101.252 per share
	D. In case value of trade(s) is more than Rs.10 lacs in a calendar quarter	
	i. Date of intimation of trade(s) by concerned DP/director/promoter/promoter group to Company under regulation 7 of SEBI (PIT) Regulations, 2015	N.A.
	ii. Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI (PIT) Regulations, 2015	N.A.
4	Details of violations observed under Code of Conduct	Contra Trade
5	Action taken by Listed company/ Intermediary/ Fiduciary	Mr. Mahendra Agrawal was WARNED for entering into Contra Trade in the securities of the Company. The Company also warned him of taking a stricter action in future if the same mistake is repeated. Action taken against the Designated Person has been reviewed by the Audit Committee of the company at its meeting held on May 30,2023.



Sr. No.	Particulars	Details																								
6	Reasons recorded in writing for taking action stated above	Violation of Insider Trading Code of Company for execution of contra trade in the securities of the Company. Considering Mr. Agrawal incurred a loss on sale of these shares and being first time violation, the warning letter was issued Mr. Agarwal. He assured that he will take utmost care while trading in the securities of the Company and will strictly adhered to the rules and regulations of RCF's Insider Trading Code.																								
7	Details of the previous instances of violations, if any, since last financial year	Nil																								
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9	Any other relevant information	None																								

Yours faithfully,

For **Rashtriya Chemicals and Fertilizers Limited**



Name and Signature of Compliance Officer:- J. B. Sharma

PAN: AMSPS6071R

Email id: jbsharma@rcfld.com

Date: 31.05.2023

Place: Mumbai